

2020
Celebrating
10 Yrs
of our
Model Portfolios

KING & SHAXSON
ethical investing

Model Portfolio Generic Information
Collective MPS & Direct Equity

Platform Availability

Our ethical model portfolios were launched in February 2010 and are now available on numerous adviser based platforms. Including:

7IM	Nucleus
Aegon	Old Mutual Wealth
Ascentric	Platform One
Aviva	Praemium
Hubwise	Standard Life
Novia	Transact

(Please note direct equity MPS is available on selected platforms, please contact us).

How many Model Portfolios are available?

Our MPS offering is split into two: Funds MPS (5 available) and Direct Equity MPS (4 available).

Funds MPS combine collective investments, with four models catering for different risk requirements, from cautious to adventurous, with an additional portfolio that caters for a higher level of income.

Direct Equity model portfolios combine funds and direct equity holdings. This offering may suit the more ethically minded client as we have full control over the individual equities in the portfolio. The four portfolios range from Cautious Green (Cautious) to Dark Green (Adventurous).

What are your management charges?

King & Shaxson's annual management charge is tiered based on the total AUM at a company level, (not just on an individual adviser basis). Where the total Funds under Management across all portfolios invested in by the Intermediary's Firm on a Platform is:

Tiers	Charge
less than £5,000,000	0.60% (inc vat)
greater than £5,000,000 but less than £10,000,000	0.54% (inc vat)
greater than £10,000,000 but less than £15,000,000	0.48% (inc vat)
greater than £15,000,000 but less than £20,000,000	0.42% (inc vat)
greater than £20,000,000	0.36% (inc vat)

What other charges are applicable?

Additional costs include Fund OCFs which are available on the monthly factsheets. Platform/dealing charges vary between each platform, so please contact your platform provider.

How can I access the portfolios?

In order to access the portfolios, you will need to sign our Terms of Business, once complete, we can grant access to the portfolios on your chosen platform. Please email ethical@kasl.co.uk in order to receive our Terms of Business.

What material do you produce?

A number of adviser and client focussed documents are produced regularly throughout the year, including:

- Monthly Factsheets
- Detailed Model Portfolio Service Product Analysis brochure, that highlights full current holdings, allocation and rationale in a client friendly format.
- Investment Committee Meeting Minutes & Rationale for portfolio changes
- Client facing Review of Portfolios to highlight example company's and outcome of invested capital
- 'Everything Ethical' - Blog posts looking into the world of ethical investing.

FE Analytics

All of our model portfolios are available for review through FE Analytics. Please request a license via FE.

Contact Details

For more information, including access to client facing documentation, please visit our [platform products page](#). To speak to a member of the team, please contact:

<p>Peter Thompson Business Development Manager peter.thompson@kasl.co.uk Tel: 020 7426 5960 Mob: 07936368474</p>	<p>Craig Hart Client Relationship Manager Craig.hart@kasl.co.uk Tel: 020 7426 5979</p>
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Disclaimer

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