

Individual Savings
Account Stocks
& Shares Application

KING & SHAXSON

Contact details

King & Shaxson Asset
Management Limited
1st Floor, Cutlers Court
115 Houndsditch
London, EC3A 7BR

Tel: 020 7426 5950
www.kingandshaxsonethic
al.co.uk

I apply to subscribe for a Stocks & Shares ISA for the tax year commencing 6 April and each subsequent year until further notice

Y	Y
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I certify the following:

1. I am 18 years of age or over
2. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform King & Shaxson Asset Management Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
3. All subscriptions made, and to be made, belong to me*
4. I have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA and Stocks & Shares ISA in the same year.
5. I have not subscribed and will not subscribe to another stocks & shares ISA in the same tax year that I subscribe to this stocks & shares ISA.

I authorise King & Shaxson Asset Management Limited, as ISA Managers:

- (a) To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- (b) Subject to any overriding ISA regulations, my risk profile and investment objectives will be set out in my account opening documentation.
- (c) To make on my behalf any claims to relief from tax in respect of ISA investments.
- (d) On my written request and at my risk, to transfer or pay to me as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or cash.

I declare that:

- (i) this application has been completed to the best of my knowledge and belief and
- (ii) I will undertake to inform King & Shaxson Asset Management Limited of any changes in the information provided on this form and
- (iii) I confirm that I have read and understood the King & Shaxson ISA Terms & Conditions and Key Features documents and agree to be bound by the Terms & Conditions.

*Not applicable to ISA Transfers

Signature of applicant	<input type="text"/>
Date	<input type="text"/>
Name in block capitals	<input type="text"/>

FOR THE PERSONAL DISCRETIONARY SERVICE OPTION A 'VALUES-BASED INVESTMENT QUESTIONNAIRE' IF NOT PREVIOUSLY COMPLETED MUST BE COMPLETED IN FULL, SIGNED AND DATED. THIS QUESTIONNAIRE SHOULD BE RETURNED ALONG WITH THIS FORM,

King & Shaxson Asset Management Limited
(Company Number: 03870667, Firm Reference
Number 823315). Registered Office is 1st Floor
Cutlers Court, 115 Houndsditch, London,
EC3A 7BR. Part of the PhillipCapital Group.
Authorised and Regulated by the Financial
Conduct Authority, 12 Endeavour Square,
London, E20 1JN.

For Office Use

Executive Code

Discretionary

Intermediary Details (For intermediary use only)

Agency Number

Adviser Name

Company Name

Company Address

Postcode