



# MODEL PORTFOLIO SERVICE OVERVIEW

This document provides an overview of King & Shaxson's seven screened and positively invested model portfolios. Please contact us for an in depth product brochure, including asset allocation and investment rationale.



## WHY CHOOSE KING & SHAXSON

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At King & Shaxson Asset Management, we take clients' ethics and values and reflect them in their investment portfolio, and have been doing so for over twenty years.

Taking an active management approach, we seek out investments which have a positive impact on people and the planet.

We are continually monitoring and reviewing investment positions with a forward-looking and adaptive outlook, and our approach goes beyond just looking at financial and ESG data. Our ethical

screening is as important to us as the financial investment process. We ensure that our investments meet the clients' expectations, and do not just tick boxes.

We draw from a diversity of professional backgrounds, creating a blend of experience, skill and dedication, which is shared with our clients. King & Shaxson strives to provide an exceptional level of service for all of our clients, committing to long-term partnerships, which we consider crucial in order to add value.



# KING & SHAXSON'S MODEL PORTFOLIOS

Our discretionary management service provides professional investment management for investors who would like their portfolios to reflect their core beliefs and values. By outsourcing to a discretionary fund manager, investors can delegate the management of their investments to an experienced and reliable manager whom they can trust.

Our model portfolios were launched in February 2010 and are available on multiple platforms. Financial advisers have access to seven models, including an income portfolio.

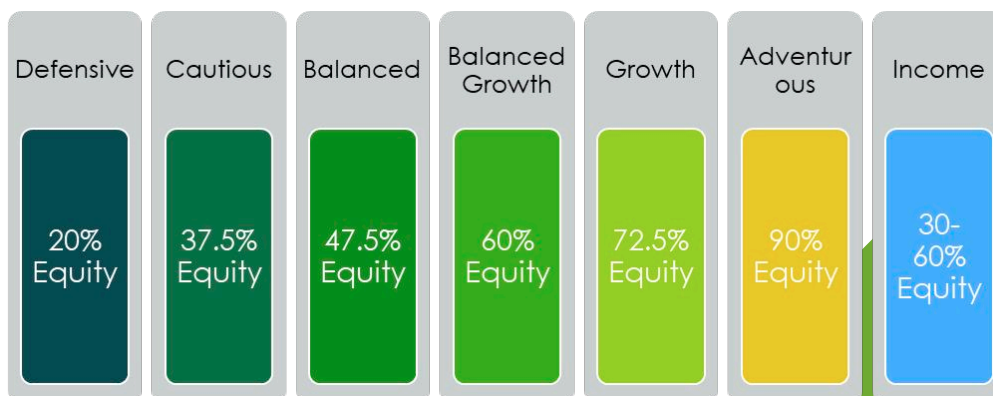
Although most investments are held for the long-term, we monitor portfolios on a daily basis, making changes as circumstances dictate, acting both quickly and efficiently.

We have designed negative and positive screens to meet the vast majority of ethical investor's concerns. This includes a stringent negative screen in place, avoiding traditional 'sin stocks' such as alcohol production. Whilst also adopting a positive focus, allocating to companies who are seeking to address the environmental and social issues we face.

More on our screening overleaf.

## Fund MPS Range

Allocation 100% to Funds









Clean energy solutions are an important theme within clients' portfolios, and one they contribute the most to. Their exposure to it comes from a range of asset classes; fixed income, infrastructure and equity.

The wind sector has suffered over the last two years with inflation impacting margins. However, the outlook is positive, with unprecedented regulatory support and cost pressures reversing.



# OUR SCREENING PROCESS

The method we use to screen investments includes both a process-driven quantitative and values-based qualitative approach. This is because whilst quantitative ESG data is an important part of screening a company or fund, it is necessary to look beyond just data and take into account other sources of information.

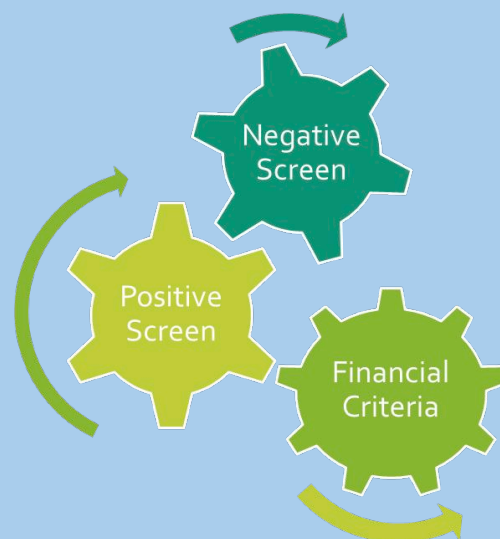
We utilise both a negative and positive screening process to filter out the harmful and include the impactful. For the model portfolios, this is laid out in the documentation,

Where companies have credible and substantial plans to transition away from excluded activities, and where these plans are already evidently well underway, they may be included in the portfolios. Eg Orsted

Meanwhile, many of the positive themes we aim to provide exposure to are associated with long-term trends, for example, access to healthcare, electrification and the circular economy.

## *Excluded Activity*

- |                            |                                 |
|----------------------------|---------------------------------|
| ✗ Adult Entertainment      | ✗ Human Rights Abuse            |
| ✗ Aggressive Tax Practices | ✗ Illegal Deforestation         |
| ✗ Alcohol Production       | ✗ Intensive Farming             |
| ✗ Armaments                | ✗ Nuclear Generation            |
| ✗ Fossil Fuels             | ✗ Poor Environmental Management |
| ✗ Gambling                 | ✗ Tobacco Production            |



## *Included Activity*

- |                                         |                                      |
|-----------------------------------------|--------------------------------------|
| ✓ Affordable, Social & Medical property | ✓ Public Transport                   |
| ✓ Connectivity                          | ✓ Renewable Energy                   |
| ✓ Education & Learning                  | ✓ Resource Management                |
| ✓ Environmental Efficiency              | ✓ Sustainable Agriculture & Forestry |
| ✓ Food & Nutrition                      | ✓ Sustainable Infrastructure         |
| ✓ Healthcare                            | ✓ Water & Sanitation                 |
| ✓ Microfinance                          |                                      |

# ABOUT KING & SHAXSON

King & Shaxson is a long-established City name with a history that dates back to the mid-nineteenth century. The firm has successfully built on its traditional strengths in the bond and money markets to offer fund management since 2002.

King & Shaxson Asset Management (KSAM) has offered a distinct ESG and Impact focused portfolio management service through bespoke mandates and model portfolios since 2002. It remains the sole focus of KSAM.

King & Shaxson is a leading dealer in bonds and money market instruments, including certificates of deposit and Treasury bills. Listed by the Debt Management Office (DMO) of the UK Treasury as a primary participant in the Treasury bill market. On top of this, the Dowgate MTF is a trading venue operated by King & Shaxson Limited, offering trading in government bonds, supranational bonds, corporate bonds and certain derivative products.

We are owned by PhillipCapital, a privately owned, financially strong and developing Singaporean financial services company. PhillipCapital employs 3,500 people worldwide, with its major interests in Singapore, Hong Kong and other regions of the Far East. The development of London is an important element in PhillipCapital's global growth plan.

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